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Confirmation No. 6734

PTO/SB/08A (08-03)

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

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|------------------------|------------------|
| Application Number     | 10/773,588       |
| Filing Date            | FEBRUARY 5, 2004 |
| First Named Inventor   | L. Chupak et al  |
| Art Unit               | 1614             |
| Examiner Name          |                  |
| Attorney Docket Number | PC25656A         |

Sheet 1 of 8

**U. S. PATENT DOCUMENTS**

| Examiner Initials* | Cite No. <sup>1</sup> | Document Number                          | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |
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|                    |                       | Number-Kind Code <sup>2</sup> (if known) |                                |  |   |
| BWL                |                       | US- 4,705,799                            | 11-10-1987                     | Gregory  |   |
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|                    |                       | US- 5,164,510                            | 11-17-1992                     | Brickner   |   |
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|--------------------|-----------------------|---|--------------------------------|--|---|----|
|                    |                       | Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known) |                                |  |   |    |
| BWL                |                       | WO94/01110  | 01-20-1994                     | THE UPJOHN CO.                                     |   |    |
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| BWL                |                       | WO96/13502  | 05-09-1996                     | THE UPJOHN CO.                                     |   |    |
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| BWL                |                       | WO97/30995  | 08-28-1997                     | ZENECA   |   |    |

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Sheet 2 of 8

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|--------------------|-----------------------|--|--------------------------------|--|---|----------------|
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| BML                |                       | WO00/21960   | 04-20-2000                     | ZENECA   |   |                |

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|  |   |    |   | First Named Inventor     | L. Chupak et al  |
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|  |   |    |   | Examiner Name            |                  |
|  |   |    |   | Attorney Docket Number   | PC25656A         |
| Sheet  | 3 | of | 8 |                          |                  |

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| BWL                      |                       | WO01/81350  | 11-01-2001       | ASTRAZENECA                                     |   |
| BWL                      |                       | WO02/096916   | 12-05-2002       | ASTRAZENECA AB                                  |   |

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| Sheet | 4 | of | 8 |
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## U. S. PATENT DOCUMENTS

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**FOREIGN PATENT DOCUMENTS**

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|                       |              |   |                                   |  |   |    |
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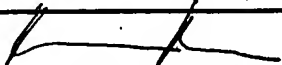
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| NON PATENT LITERATURE DOCUMENTS |                       |   |                |
|---------------------------------|-----------------------|---|----------------|
| Examiner Initials*              | Cite No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.     | T <sup>2</sup> |
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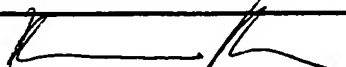
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| BML                             |                       | COMINS, et. al. "Regio- and Stereoselective Addition of Nucleophiles to 1-Phenoxycarbonyl-2,3-Dihydropyridium Salts." Heterocycles, Vol. 37, No. 2, 1994, pgs 1121-1140.  |                |
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| Examiner Signature |  | Date Considered | 11/19/06 |
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|   |   | Application Number       | 10/773,588       |                        |          |
|   |   | Filing Date              | February 5, 2004 |                        |          |
|   |   | First Named Inventor     | L. Chupak et al  |                        |          |
|   |   | Art Unit                 | 1614             |                        |          |
|   |   | Examiner Name            |                  |                        |          |
| Sheet   | 7 | of                       | 8                | Attorney Docket Number | PC25656A |

| NON PATENT LITERATURE DOCUMENTS |                       |   |                |
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| Examiner Initials*              | Cite No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T <sup>2</sup> |
| BWL                             |                       | ISHII, et. al. "Rhodium-Catalyzed Reaction of N-Acylpiperazines with CO and Ethylene, Carbonylation at a C-H Bond Directed by an Amido Group." Tetrahedron Letters, Vol. 38, No. 43, pp. 7565-7568, 1997.   |                |
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|                    |   |                 |          |
|--------------------|---|-----------------|----------|
| Examiner Signature |  | Date Considered | 11/14/06 |
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|                        |                  |
|------------------------|------------------|
| Application Number     | 10/773,588       |
| Filing Date            | February 5, 2004 |
| First Named Inventor   | L. Chupaket al   |
| Art Unit               | 1614             |
| Examiner Name          |                  |
| Attorney Docket Number | PC25656A         |

Sheet 8 of 8

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|--------------------|-----------------------|---|----------------|
| Bm                 |                       | WALDMANN et. al. "Asymmetric Synthesis of Indolo[2,3-a]quinolizidin-2-ones Congeners to Yohimbine-Type Alkaloids." Tetrahedron Vol. 49, No. 2, 1993, Pp. 397-416.   |                |
| Bm                 |                       | English Abstract for GUERRY, Philippe "Reduktion von 4-Pyridinonen"   |                |
|                    |                       | English Abstract for EP0417044A2  |                |
|                    |                       | English Abstract for EP0697408B1  |                |
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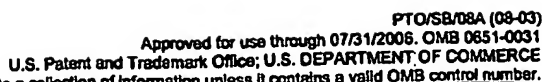
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**Complete If Known**


|                        |                     |
|------------------------|---------------------|
| Application Number     | 10/773,588          |
| Filing Date            | February 6, 2004    |
| First Named Inventor   | Louis Chupak, et al |
| Art Unit               | Unknown             |
| Examiner Name          | Not yet assigned    |
| Attorney Docket Number | PC25656A            |

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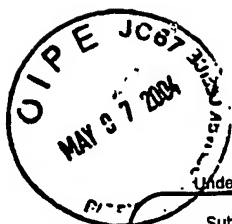
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|------------------------|---------------------|
| Application Number     | 10/773,588          |
| Filing Date            | February 6, 2004    |
| First Named Inventor   | Louis Chupak, et al |
| Art Unit               | Unknown             |
| Examiner Name          | Not yet assigned    |
| Attorney Docket Number | PC25656             |

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|--------------------|-----------------------|--|--------------------------------|--|---|
|                    |                       | Number-Kind Code <sup>2</sup> (if known) |                                |  |   |
| BWL                |                       | US- 6,313,307                            | 11-06-2001                     | Ennis, et al                                       |   |
|                    |                       | US- 6,051,716                            | 04-18-2000                     | Hutchinson, et al                                  |   |
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|                    |                       | Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known) |                                |  |   |                |
| BWL                |                       | WO 01/46185   | 06-28-2001                     | Hester, et al                                      |   |                |
|                    |                       | WO 96/15130   | 05-23-1996                     | Barbachyn, et al                                   |   |                |
|                    |                       | WO 95/25106   | 09-21-1995                     | Yamada, et al                                      |   |                |
|                    |                       | WO 97/09328   | 03-13-1997                     | Hutchinson, et al                                  |   |                |
| BWL                |                       | WO 99/40094   | 08-12-1999                     | Raddatz, et al                                     |   |                |
|                    |                       | WO 98/54161   | 12-03-1998                     | Hester, et al                                      |   |                |

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|------------------------|---------------------|
| Application Number     | 10/773,588          |
| Filing Date            | February 6, 2004    |
| First Named Inventor   | Louis Chupak, et al |
| Art Unit               | Unknown             |
| Examiner Name          | Not yet assigned    |
| Attorney Docket Number | PC25656A            |

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|                          |                       | Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known) |                                |   |   |                |
| RMM                      | 1                     | DE 198 05 117   | 08-12-1999                     | Raddatz, et al                                  |   |                |
| SWN                      |                       | DE 199 01 306   | 07-20-2000                     | Bartel, et al                                   |   |                |
|                          |                       |   |                                |   |   |                |
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|   |      | Application Number       | 10/773588            |
|   |      | Filing Date              | February 6, 2004     |
|   |      | First Named Inventor     | Louis Chupak, et al. |
|   |      | Art Unit                 | Unknown              |
|   |      | Examiner Name            | Not yet assigned     |
| Sheet 3   | of 3 | Attorney Docket Number   | PC25656A             |

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| BMA                             |                       | Brickner, S., et al, "Oxazolidinone Antibacterial Agents", Current Pharmaceutical Design, 1996, vol. 2, pgs 175-194.  |                |
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| Examiner Signature | <i>Ruth Robinson</i> | Date Considered | 3/6/07 |
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<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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